

Georgia
Roads Department
of the Ministry of Infrastructure
and JSC Georgian Railway

Trans-Caspian Corridor -
Georgia Accessibility and Transport
Enhancement Project (P513957)

ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)

Draft for Appraisal
April 2026

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Borrower will implement the Trans-Caspian Corridor - Georgia Accessibility and Transport Enhancement Project (the **Project**) with the involvement of the Roads Department of the Ministry of Infrastructure of Georgia (the **RD**) and JSC Georgian Railway (the **GR**) as set out in the Loan Agreement (the Agreement). The International Bank for Reconstruction and Development (the **Bank**) has agreed to provide financing for the Project as set out in the Agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided under the referred Loan Agreement, the Borrower shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Borrower agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower's Representative specified in the Loan Agreement. The Borrower shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	<p>ORGANIZATIONAL STRUCTURE</p> <ul style="list-style-type: none"> Maintain qualified staff and resources to support management of environmental, social, health and safety (E&S) risks and impacts of the Project, including at least one Environmental Specialist, one Social/Resettlement Specialist, one Occupational Health and Safety Specialist, and one Gender and Community Liaison Specialist, all with experience and qualifications acceptable to the Bank. Assign and maintain at least one qualified Environmental and Social Specialist for implementation of Part 1 of the Project to ensure compliance with ESF requirements. 	<p><i>Throughout Project implementation.</i></p> <p><i>Assign no later than 30 days after the Effective Date and maintain throughout implementation of Part 1 of the Project.</i></p>	<p>RD</p> <p>GR</p>
B	<p>CAPACITY BUILDING PLAN/MEASURES</p> <p>Prepare and implement the following capacity building measures:</p> <ul style="list-style-type: none"> Provide information in a clear and accessible manner to Project-affected communities on the grievance mechanism operated by RD, including available communication channels and contract information. Conduct awareness-raising for identified vulnerable groups to ensure accessibility of the Project benefits. Provide training on Operational Health and Safety (OHS) to all Project workers. Conduct awareness-raising of all employees and community members on Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) risks and mitigation measures. 	<p><i>Prepare within 60 days from the Effective Date and implement as specified below:</i></p> <p><i>During stakeholder consultation meetings to be held to discuss site-specific E&S instruments.</i></p> <p>Throughout Project implementation.</p> <p>Quarterly and for every new employee.</p> <p>Annually and for every new employee.</p>	<p>RD</p> <p>RD</p> <p>RD, GR</p> <p>RD, GR</p>
MONITORING AND REPORTING			

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<p>C REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on environmental, social, health and safety (E&S) performance of the Project. The reports shall include:</p> <ul style="list-style-type: none"> • Status of preparation and implementation of E&S documents required under the ESCP. • Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan (SEP). • Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. • Information on E&S performance of contractors and subcontractors as reported through contractors’ and supervision firms’ monthly reports. • Number and status of resolution of incidents and accidents reported under action E below. 	<p><i>Submit quarterly reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 15 days after the end of each reporting period.</i></p>	<p>RD, GR</p>
<p>D CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on E&S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.</p>	<p><i>Submit the monthly reports of supervising firms to the Bank on a regular monthly basis and reports of contractors upon request starting from contract signing with such firms and contractors.</i></p>	<p>RD, GR</p>
<p>E INCIDENTS AND ACCIDENTS</p> <p>Notify the Bank of any incident or accident relating to the project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its</p>	<p><i>Notify the Bank within 24 hours after learning of the incident or accident. Provide available details upon request.</i></p> <p><i>Provide review report and Corrective Action Plan to the Bank no later than 10 days after the submission of the initial notice, unless a different timeframe is agreed in writing by the Bank.</i></p> <p><i>Keep incident/accident notification/reporting system in place throughout the Project</i></p>	<p>RD, GR</p>

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<p>immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p><i>implementation.</i></p>	
<p>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</p>		
<p>1.1 ENVIRONMENTAL AND SOCIAL ASSESSMENT AND MANAGEMENT PLANS Update, finalize, disclose, consult, redisclose, adopt, and implement, consistent with ESSs and in a manner acceptable to the Bank, the Environmental and Social Impact Assessment (ESIA), including the Environmental and Social Management Plans (ESMPs) with SEA/SH actions to address relevant risks, for construction and operation of Badiauri-Chalubani and Chalubani-Bakurtsikhe sections of Kakheti Highway and Gurjaani-Telavi bypass road.</p> <p>Prepare, disclose, consult and implement, consistent with ESSs and in a manner acceptable to the Bank, the Livelihood Restoration Plans (LRP) for indirectly affected street vendors who work alongside the existing road alignment.</p> <p>Prepare, disclose, consult and implement, consistent with ESSs and in a manner acceptable to the Bank, site-specific Environmental and Social Management Plans for construction of new locomotive depots and new electric substations.</p>	<p><i>Update, consult with stakeholders, redisclose, and adopt the ESIA and ESMP for Kakheti Highway sections not later than 30 days after the Effective Date.</i></p> <p><i>Update, consult with stakeholders, redisclose, and adopt the ESIA and ESMP for Gurjaani-Telavi bypass road prior to tendering of works.</i></p> <p><i>Comply with the requirements of ESIA reports and enclosed ESMPs throughout Project implementation.</i></p> <p><i>Consult, disclose, and implement the LRP prior to the traffic diversion.</i></p> <p><i>Prepare, disclose, and consult with stakeholders before tendering of works and implement during conduct of works.</i></p>	<p>RD</p> <p>RD</p> <p>GR</p>
<p>1.2 MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including, inter alia, ESIA reports, the Labor Management Procedures (LMP), and code of conduct, into the E&S specifications of procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S</p>	<p><i>Incorporate E&S and LMP requirements into bidding documents prior to tendering of works.</i></p> <p><i>Obligate contractors and supervising firms to adhere to environmental, social, health and safety</i></p>	<p>RD, GR</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.	<i>specifications of their contracts throughout contract life.</i> <i>Provide copies of contracts to the Bank upon request.</i>	
1.3	TECHNICAL ASSISTANCE Carry out the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance (TA) activities under the Project in accordance with terms of reference (TOR) acceptable to the Bank that are consistent with the relevant ESSs. Thereafter, prepare and finalize the outputs of such activities in compliance with the TOR.	<i>Incorporate requirements on consistency with relevant ESSs into technical assistance TORs prior to commencing TA and ensure compliance with TORs throughout provision of TA and delivery of its outputs.</i>	RD, GR
1.4	CONTINGENT EMERGENCY RESPONSE Ensure that the CERC Manual includes a description of the E&S assessment and management arrangements for the implementation of Part 5 of the Project in accordance with the ESSs. Implement the E&S provisions of the CERC Manual.	<i>The preparation of the CERC Manual in form and substance acceptable to the Bank is a withdrawal condition under Section III.B.1 (d) of Schedule 2 of the Loan Agreement.</i> <i>In accordance with the timeframes specified in the CERC Manual.</i>	RD
1.5	ACTIVITIES SUBJECT TO RETROACTIVE FINANCING For activities subject to retroactive financing, undertake audit of E&S compliance with the relevant ESSs and, if gaps are revealed, develop and implement corrective action plans to achieve material consistency with the Bank's ESHS requirements.	<i>Screen activities subject to retroactive financing for consistency with relevant ESSs and undertake corrective actions, if required, prior to requesting the Bank release of funds to cover cost of these activities.</i>	RD
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Develop, finalize and implement the LMP as required in a manner consistent with ESS2 and national legislation of Georgia. If there are any inconsistencies between ESS2 and	<i>Finalize the LMP no later than 30 days after the Effective Date, redisclose, and</i>	RD, GR

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	Cause personnel to strictly adhere to the requirements of relevant national legislation and corporate arrangements for waste management and ESS3 during maintenance works for rail renewal.	<i>Throughout conduct of rail renewal.</i>	GR
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures in the ESMPs to be prepared under action 1.1 above.</p> <p>Require contractors to purchase natural construction material from the licensed primary suppliers or to obtain the necessary license for opening a new quarry prior to commencing material sourcing in case contractor opts to open own quarries.</p>	<p><i>Same timeframe as for the preparation and implementation of ESMPs.</i></p> <p><i>Throughout contract life.</i></p>	RD, GR
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Incorporate measures to manage traffic and road safety risks in the ESMP to be prepared under action 1.1 above.</p>	<i>Same timeframe as for the preparation and implementation of ESMPs.</i>	RD, GR
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, movement of construction vehicles and machinery; propagation of noise, dust, and emissions; accidental damage to local roads, fences, and other property; labor influx; behavior of Project workers; etc.</p> <p>Include community health and safety risk mitigation measures in the ESMPs to be prepared under action 1.1 above and obligate contractors to develop Community Health and Safety Management Plans satisfactory to supervision firms and RD and implement these Plans.</p>	<p><i>Same timeframe as for the preparation and implementation of the ESMPs.</i></p> <p><i>Obligate contractors to update their Community Health and Safety Management Plans shortly after contract signing and implement them throughout Project implementation.</i></p>	RD, GR

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4.3	<p>SEA AND SH RISKS</p> <p>Implement actions and measures to prevent and mitigate risks of SEA/SH (Sexual Exploitation and Abuse/Sexual Harassment) included in Project LMP and/or relevant requirements of ESS 4.</p> <p>Require contractors, and obligate them to require subcontractors, developing and adhering to Code of Conduct for all employees, establishing and operating SEA/SH-sensitized grievance mechanism, and conducting awareness-raising of all employees and community members on SEAH/SH risks and mitigation measures.</p>	<p><i>Include SEA/SH risk prevention and mitigation requirements into bidding documents prior to tendering of works.</i></p> <p><i>Monitor Contractors' adherence to Code of Conduct and operation of grievance mechanism and delivery of training throughout Project implementation.</i></p>	RD, GR
4.4	<p>SECURITY MANAGEMENT</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities as set out in the ESMP.</p>	<p><i>Same timeframe as for the preparation and implementation of the ESMPs.</i></p>	RD, GR
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT PLANS</p> <ul style="list-style-type: none"> Update the current Resettlement Action Plans (RAPs), consistent with ESS5, for construction and operation of Badiauri-Chalaubani and Chalaubani-Bakurtsikhe sections of Kakheti Highway, including livelihood restoration measures for people economically impacted by the Project. Update the current RAP, consistent with ESS5, for construction and operation of and Gurjaani-Telavi bypass road, including livelihood restoration measures for people economically impacted by the Project. Implement the RAPs for Badiauri-Chalaubani and Chalaubani-Bakurtsikhe sections of Kakheti Highway and Gurjaani-Telavi bypass road in accordance with ESS 5. 	<p><i>Update, disclose and consult the RAPs for the Kakheti Highway sections no later than 30 days after the Effective Date.</i></p> <p><i>Update, disclose and consult the RAP for Gurjaani-Telavi bypass road prior to tendering of works.</i></p> <p><i>Implement the RAPs before carrying out the relevant works, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.</i></p>	RD

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ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Assess biodiversity risks through ESIA's and include measures for their mitigation in the ESMPs.</p> <p>Obligate contractors to apply biodiversity risk mitigation measures in consistency with ESMPs and the requirements of ESS6.</p>	<p><i>Same timeframe as for the preparation and implementation of ESMPs.</i></p> <p><i>Include requirements for biodiversity risk mitigation in the bidding documents prior to tendering of works and monitor adherence to these requirements throughout Project implementation.</i></p>	RD
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This Standard is not relevant to the Project.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CULTURAL HERITAGE RISKS AND IMPACTS</p> <p>Assess cultural heritage risks through ESIA's and include measures for their mitigation in ESMPs.</p> <p>Obligate contractors to apply cultural heritage risk mitigation measures in consistency with the national legislation of Georgia, ESMPs, and the requirements of ESS8.</p>	<p><i>Same timeframe as for the preparation and implementation of the ESMPs.</i></p> <p><i>Include requirements for cultural heritage risk mitigation in the bidding documents prior to tendering of works and monitor adherence to these requirements throughout Project implementation.</i></p>	RD

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8.1	<p>CHANCE FINDS Describe and implement the chance find procedures in the ESIA reports and the ESMPs.</p> <p>Obligate contractors to handle change finds consistent with procedures provided in the employer’s ESMPs and ESS8.</p>	<p><i>Same timeframe as for the preparation and implementation of the ESMPs.</i></p> <p><i>Include procedures for chance find management in the bidding documents prior to tendering of works and monitor adherence to these procedures throughout Project implementation.</i></p>	RD, GR
ESS 9: FINANCIAL INTERMEDIARIES			
This Standard is not relevant to the Project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN Implement a Stakeholder Engagement (SEP) for the Project (except for Part 1), consistent with ESS10, which includes measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p><i>Implement the SEP throughout Project implementation.</i></p>	RD

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<p>10.2 STAKEHOLDER ENGAGEMENT FOR PART 1</p> <p>Incorporate stakeholder engagement and information disclosure measures in the implementation of the Project, in a manner consistent with ESS10. To this end, implement the following measures:</p> <ul style="list-style-type: none"> • Identify relevant stakeholders, including vulnerable groups, and conduct awareness-raising activities to ensure accessibility of the Project benefits. • Provide stakeholders with information about the environmental and social risks and impacts of the Project in a timely, understandable, accessible and appropriate manner and format. • Consult stakeholders in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation. • Document and report the stakeholder engagement activities under the regular reports, as relevant, including: (i) stakeholder identification and/or mapping; (ii) information disclosed; (iii) description of consultations and participation mechanisms utilized, and records of meetings held; (iv) feedback received and responses to said feedback; and (iv) measures to engage stakeholders who, because of their particular circumstances, may be disadvantaged or vulnerable. • Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. 	<p><i>Throughout Project implementation starting after the Effective Date.</i></p>	<p>GR</p>
<p>10.3 PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible GM to receive and facilitate resolution of concerns and grievances in relation to the Project (except for Part 1), promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with</p>	<p><i>Establish GM and ensure that it is operational not later than 30 days after the Effective Date and maintain throughout Project Implementation.</i></p>	<p>RD</p>

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<p>ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>		
<p>INDICATORS FOR IMPLEMENTATION READINESS</p>		
<p>The following actions are indicators for implementation readiness:</p> <ul style="list-style-type: none"> Specialists named in section A above assigned to the Project. ESIA reports, including ESMPs, for Badiauri-Chalaubani and Chalaubani-Bakurtsikhe sections of Kakheti Highway updated and redisclosed. RAPs for Badiauri-Chalaubani and Chalaubani-Bakurtsikhe sections of Kakheti Highway updated and redisclosed. LMP and SEP for Part 2 of the Project are finalized and adopted. Project GM established and operationalized. 		